

PATENT 2-27-03
Attorney Docket No. 86339-0002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
George M. HAY	) Art Unit: Unassigned
Application No.: 10/067,976	) Examiner: Unassigned
Filed: February 8, 2002	) )
For: SYSTEM AND METHOD FOR THE DELIVERY OF	RECEIVED
ELECTRONIC BOOKS	NOV 0 6 2002
Commissioner for Patents Washington, D.C. 20231	Technology Center 2100
washington, D.C. 20231	

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of each of the listed documents is submitted herewith.

This information disclosure statement is being filed within one of the following time periods: within three months of the filing date of this application other than a continued prosecution application, or within three months of the date of entry into the national stage of this international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Attorney Docket No: 86339-0002 **Application No: 10/067,976** 

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission the listed documents are material or constitute "prior art." If the Examiner applies the documents as "prior art" against any claims in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees which may be required. including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-1349. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR **EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Dated: November 4, 2002

**HOGAN & HARTSON LLP** 555 13<sup>th</sup> Street, N.W. Washington, D.C. 20004 (703) 610-6117 e-mail: sbparker@hhlaw.com Customer No. 24633

Stephen B. Parker Reg. No. 36,631

Respectfully submitted,

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 Sheet of

Substitute for form 1449A/PTO

	Complete if Known		
Application Number	10/067,976	REACH /CA	
Filing Date	February 8, 2002		à
First Named Inventor	George M. HAY	:NOV 0 6 2002	
Group Art Unit	Unassigned	1100 100	
Examiner Name	Unassigned	Technology Center 210	
Attorney Docket Number	86339-0002	Taguirology callfel 510	(

		Document Number	Data of Pata-t	Name of Patentee or Applicant of	8	
Examiner Initials *	Cite No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (if known)	Date of Patent MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
	•	US 5,477,510	12/19/95	Ukita		
		US- 5,500,919	03/19/96	Luther		
		US- 5,534,888	07/09/96	Lebby et al		
	•	US- 5,572,625	11/05/96	Raman et al		
	•	US- 5,575,659	11/19/96	King et al		
	•	US- 5,663,748	09/02/97	Huffman et al		
	•	US- 5,687,144	11/11/97	Nishizawa		
	•	US- 5,697,793	12/16/97	Huffman et al		
	•	US- 5,749,735	05/12/98	Redford et al		
	0	US- 5,758,320	05/26/98	Asano		
	•	US- 5,761,485	06/02/98	Munyan		
		US- 5,769,642	06/23/98	Shinohara		
	•	US- 5,820,379	10/13/98	Hall et al		
	•	US- 5,822,284	10/13/98	Nishizawa		
	-	US- 5,850,629	12/15/98	Holm et al		
	3-	US- 5,890,117	03/30/99	Silverman		
		US- 5,893,132	04/06/99	Huffman et al		
	•	US- 5,897,324	04/27/99	Tan		
	,	US- 5,903,867	05/11/99	Watari et al		
	•	US- 5,957,697	09/28/99	Iggulden et al		
	0	US- 5,986,690	11/16/99	Hendricks		
	•	US- 5,991,594	11/23/99	Froeber et al		
	•	US- 6,052,663	04/18/00	Kurzweil et al		
	•	US- 6,056,551	05/02/00	Marasco		
·	•	US- 6,064,380	05/16/00	Swenson et al		
	,	US- 6,076,060	06/13/00	Lin et al		
	•	US- 6,081,780	06/27/00	Lumelsky		
	•	US- 6,154,757	11/28/00	Krause et al		
	4-	US- 6,205,427 B1	03/20/01	Itoh et al		

	3/2	FOREIGN PA	TENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	•	JP 59-178639	09/10/84	Toshiatsu et al		Abstract
	•	JP 02-309390	12/25/90	Takashi	CCFIVE	Abstract
		JP 06-308992	04/11/94	Hiroshi	LIVE	bstract
	•	JP 62515171	09/09/94	Hiroshi	WOV a a sec	Abstract
	•	WO 9710541	03/20/97	Petruzzi et al	0 6 2002	Abstract
	,	JP 9265470	10/07/97	Yamazak ech	nologi o	Abstract
	•	JP 10011468	01/16/98	Terajima ·	TOTAL COLUMN	Abstract
	0	JP 2000112857	04/21/00	Sano et al		Destract

Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

51

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B(10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO		Compl te if Known	
INFORMATION DISCLOSURE	Application Number	10/067,976	RECEIVED
INFORMATION DISCLOSURE	Filing Date	February 8, 2002	THE OF IVED
STATEMENT BY APPLICANT	First Named Inventor	George M. HAY	NOV 0 6 2002
	Group Art Unit	Unassigned	0 2552
(use as many sheets as necessary)	Examiner Name	Unassigned	Technology Center 2100
Sheet 1 of 1	Attorney Docket Number	86339-0002	- California - Cal

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	۴	COPYRIGHT INDUSTRY CANADA, Digitization of the Book: A Report on Present Trends, see  (http://www.schoolnet.ca)		
	¢.	DENNIS P. DEVENDRA/Features and Benefits for the Interface of the Next Generation Digital Talking Book		
	,	ZD Net Downloads, Text to Mp <sup>3</sup> (http://www.zdnet.com)		
	•	B.T. KIMBROUGH/Daisy on Our Desktops? A Review of LpPlayer 2.4 (http://www.rit.edu.com)		
	•	SHERYL L. DAY AND BARBARA J. EDWARDS/Assistive Technology for Postsecondary Students with Learning Disabilities/ Journal of Learning Disabilities 29(5): 486-492 (http://www.rid.org)		
	•	Your Computer Will Talk to You, About.com (http://www.about.com)		

Examiner		Date	
Signature	,	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.